

**WOLVERHAMPTON CCG
GOVERNING BODY
9 April 2019**

Agenda item 13

TITLE OF REPORT:	Summary – Wolverhampton Clinical Commissioning Group(WCCG) Audit and Governance Committee (AGC) – 13 November 2018
AUTHOR(s) OF REPORT:	Peter Price – Chair, Audit and Governance Committee
MANAGEMENT LEAD:	Tony Gallagher – Chief Finance Officer
PURPOSE OF REPORT:	<ul style="list-style-type: none"> To provide an update of the WCCG Audit and Governance Committee to the Governing Body of the WCCG.
ACTION REQUIRED:	<input type="checkbox"/> Decision <input checked="" type="checkbox"/> Assurance
PUBLIC OR PRIVATE:	This Report is intended for the public domain.
KEY POINTS:	<ul style="list-style-type: none"> To provide an update of the WCCG Audit and Governance Committee to the Governing Body of the WCCG.
RECOMMENDATION:	<ul style="list-style-type: none"> Receive this report and note the actions taken by the Audit and Governance Committee
LINK TO BOARD ASSURANCE FRAMEWORK AIMS & OBJECTIVES:	
1. Improving the quality and safety of the services we commission	n/a
2. Reducing Health Inequalities in Wolverhampton	n/a
3. System effectiveness delivered within our	n/a



financial envelope	
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1. BACKGROUND AND CURRENT SITUATION

1.1 Internal Audit Progress Report

The Internal Audit team updated the Committee on activity since the last meeting. This included reports from the reviews of Corporate Governance Primary Care Strategy (to include GP Five Year Forward View), Risk Management, Finance, Safeguarding, Quality and Safety, Provider and Stakeholder Engagement, Information Governance, Audit Follow Up and Delegated Commissioning. The committee noted and accepted the report.

1.2 Safeguarding Report

The committee noted that the outcome of the review of Safeguarding was extremely positive, with only one low risk finding.

1.3 Follow Up Report

The Report was presented to the Committee giving details of actions agreed from completed audit reviews.

1.4 Primary Care Strategy and GP 5 Year Forward View

The report was a review focusing on Primary Care Healthcare and progress with implementing the CCG's Primary Care Strategy and the GP Forward View. The committee asked for this report to be circulated to the Primary Care Commissioning Committee.

1.5 External Audit Plan

External Audit presented the External Audit Plan and the planned scope and timing of work. Areas identified were Significant risks, Materiality, Value for Money arrangements, Audit Logistics and Independence. Highlighted was the current work undertaken by the CCG on mortality.

1.6 NHS Wolverhampton CCG 2018/2019 – Informing the audit risk assessment

This report was a two-way conversation with External Audit and the Audit and Governance Committee. Areas covered were Fraud, Laws and Regulations, Going concern and Accounting estimates.

1.7 Risk Register/Board Assurance Framework including GBAF and Risk Register

The version of the Risk Register/Board Assurance Framework that was presented at the Committee had also be presented at the Governing Body. The Corporate Governance Manager also updated about contingency planning regarding Brexit and a potential no-deal and the corporate risk around maternity. The Committee noted the report.



1.8 Governance Statement

The Corporate Operations Manager talked about the governance statement which had been drafted with the template issued from NHSE. The committee were asked to note the UK Corporate Governance Code which the CCG used as a point of reference for best practice, had been updated since the last Governance statement had been submitted.

1.9 Feedback to and from the Audit and Governance Committee and Wolverhampton CCG Governing Body Meetings and Black Country Joint Governance Forum

The Chair advised that Governing Body members had been informed that there had been improvements made at VOCARE and that their rating had been moved to a 'good' rating from 'requires improvement'. Brexit had also been discussed.

1.10 Counter Fraud Progress Report

The first draft of the Counter Fraud Progress report was presented to the Audit and Governance Committee.

1.11 Draft Counter Fraud Plan

The Draft Counter Fraud Plan was presented to the committee. The plan was similar to previous years. The plan was accepted with some changes that had been flagged by the committee.

1.12 Final Accounts and their preparation plan including update on submission of Month 9 accounts

Month 9 had been submitted ahead of the deadline. NHSE have not supplied a template for the final accounts so they had not been submitted yet.

The CCG had corroborated with the CSU on month 9 working. There had been two new standards and the CCG was on track to submit the accounts on the 29 May 2019. The committee noted the report.

1.13 Losses and Compensation Payments – Quarter 3 2019/2020

There were no losses or special payments were reported in quarter 3 2019/20

1.14 Suspensions, Waiver and Breaches of SO/PFPS

There were 10 breaches of PFPs in quarter 3 2019/2020. During the same period 12 waivers were raised and 52 non-healthcare invoices were paid with a purchase order numbers being raised.



1.15 Receivable/Payable Greater than £10,000 and over 6 months old

The progress report was presented to the Committee for information and noted.

CLINICAL VIEW

1.1. N/A

2. PATIENT AND PUBLIC VIEW

2.1. N/A

3. KEY RISKS AND MITIGATIONS

3.1. The Audit and Governance Committee will regularly scrutinise the risk register and Board Assurance Framework of the CCG to gain assurance that processes for the recording and management of risk are robust. If risk is not scrutinised at all levels of the organisation, particularly at Governing Body level, the CCG could suffer a loss of control with potentially significant results.

4. IMPACT ASSESSMENT

Financial and Resource Implications

4.1. N/A

Quality and Safety Implications

4.2. N/A

Equality Implications

4.3. N/A

Legal and Policy Implications

4.4. N/A

Other Implications

4.5. N/A

Name: Tony Gallagher

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Date: 14 February 2019

